

## Use of Force Reporting and Force Statements Audit Form

Audit & Review Unit, Compliance Bureau, NOPD

**0 Blue Team Item #** Paste the item number for the Blue Team report

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**ID for Unreported UoF** Fill-out this part if this is an unreported use of force:  No Blue Team

If no Blue Team, which sample?

- NA
- Stops
- Searches
- Arrests

If no Blue Team, what is the sample Item#, FIC ID, or EPR ID

**0 District, Month, Week, & Year** Please pick the district and platoon under review and the scorecard reporting month, week, and year:

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
1	A	Jan	WK 1	2018
2	B	Feb	WK 2	2019
3	C	Mar	WK 3	
4	GA	Apr	WK 4	
5	Promenade	May	WK 5	
6	SGU	Jun		
7	Mounted	Jul		
8	DWI	Aug		
SOD	K9	Sep		
ISB-SID	MC1	Oct		
	MC2	Nov		
	Tactical	Dec		
	VOWS	Test		
	TIGER			
	Other			

### Use of Force Reporting

**1 Complete Video Exists** Did each officer who made the scene and who has been issued a BWC activate their BWC as required?

# of officers who had complete video (numerator)

/

# of officers (denominator)

Provide details of which officers you considered to be primary officers and which had incomplete or no video, if any:

If video exists, help the reviewer find the video of the use of force:

Vid ID, Officer Name, Min of UoF or "No Video"

Ex: A-12345-17, Segraves, 2:30

2	<b>Professional and Courteous</b>	Was the officer reasonably professional and courteous when interacting with the subject or other civilians during the stop?	<input type="button" value="Yes"/> <input type="button" value="No"/> <input type="button" value="No Video"/>	If you selected "No", please explain: <input type="text"/>
3	<b>Subject's Injuries Documented</b>	The Blue Team Report documents any injuries and how they occurred.	<input type="button" value="Yes"/> <input type="button" value="No"/> <input type="button" value="No Blue Team"/> <input type="button" value="L4 ECW"/>	
4	<b>L2 &amp; L3 Supervisor Watched Videos</b>	If L2 or L3, the use of force report narrative states the supervisor watched the videos.	<input type="button" value="Yes"/> <input type="button" value="No"/> <input type="button" value="No Blue Team"/> <input type="button" value="Not L2/L3"/>	
5	<b>L2 &amp; L3 Supervisor Assessed Legality</b>	If L2 or L3, the supervisor states whether the officer violated policy or law in the narrative of the use of force report.	<input type="button" value="Yes"/> <input type="button" value="No"/> <input type="button" value="No Blue Team"/> <input type="button" value="Not L2/L3"/>	
6	<b>L2 &amp; L3 Supervisor Assessed Tactics and Training</b>	In the narrative of the L2 or L3 use of force report the supervisor includes a statement assessing the incident for tactical and training implications.	<input type="button" value="Yes"/> <input type="button" value="No"/> <input type="button" value="No Blue Team"/> <input type="button" value="Not L2/L3"/>	
7	<b>Appropriately Classified</b>	Blue Team and video show the force level is appropriately classified	<input type="button" value="Yes"/> <input type="button" value="No"/> <input type="button" value="Vid Incomplete"/> <input type="button" value="No Blue Team"/> <input type="button" value="L4 ECW"/>	Please pick the force level. If not appropriately classified (6 = No), pick the actual force level: <input type="button" value="L1"/> <input type="button" value="L2"/> <input type="button" value="L3"/> <input type="button" value="L4 ECW"/>
8	<b>L2 &amp; L3 Supervisor Interviewed</b>	L2 or L3 use of force report narrative states the supervisor interviewed or attempted to interview witnesses and subjects. If the narrative states witnesses were unavailable and describes the supervisor's attempts to find witnesses, choose "Yes".	<input type="button" value="Yes"/> <input type="button" value="No"/> <input type="button" value="NA Juvenile"/> <input type="button" value="No Blue Team"/> <input type="button" value="Not L2/L3"/>	
9	<b>L2 &amp; L3 Supervisor Used No Leading Questions</b>		# of the supervisor's interview questions that were <u>not</u> leading questions (numerator) <input type="text"/> / # of interview questions the supervisor asked	

	Video shows all or the first five, whichever is less, of the supervisor's questions while interviewing officers, subjects, or witnesses were not "leading questions that suggest legal justifications for the officer's conduct."	(denominator) <input type="text"/>	If the supervisor used leading questions, please give details here: <input type="text"/>
10 L2 & L3 a Photos Taken	If the use of force was an L2 or L3, photos are attached to the Blue Team report or BWC was used to document subject and the narrative explains that BWC was used for this purpose	<input type="text"/>	Yes No Subject Refused Subject Not Apprehended No Blue Team Not L2/L3
10 L2 & L3 b Photos Adequate	If 10 a) is 'Yes', are the photos (or video, if used) adequate to "establish material facts"; are they adequate to assess whether the subject was injured? Photos must be in color, crisp, and allow you to determine whether the person's skin has an abrasion.	<input type="text"/>	Yes No Photos Not Available No Photos No Blue Team Not L2/L3
11 L2 & L3 Supervisor Made the Scene	If the use of force was an L2 or L3, the video shows the supervisor made the scene	<input type="text"/>	Please help the reviewer find the video of the supervisor making the scene, if applicable. Please include the minute of the video Yes No Not L2/L3 <input type="text" value="NA"/>
12 L2 & L3 a Investigation Completed within 72 Hrs	If L2 or L3, the report is on time if the first "Sent Date/Time" under <u>Chain of Command History</u> in the Blue Team Report is within 72 hrs of the "Date of Occurrence" under <u>Incident Details</u> in the Blue Team Report or if the commander gave an extension (as documented in the IAPro attachments).  An extension can be documented at anytime.	Blue Team Date of Occurrence: <input type="text"/>	Deadline for first draft: <input type="text"/>
		Blue Team First Sent Date: <input type="text"/>	Is there a Commander extension attached in IAPro? <input type="text"/>
		Did the investigating supervisor send the first draft of the L2/L3 report to the first reviewer within 72 hours or did he/she get an extension from the Commander? <input type="text"/>	Yes No Not L2/L3 No Blue Team
12 L2 & L3 b Investigation Sent to PIB within 21 Days	If L2 or L3, the report is on time to PIB if the Blue Team Report was sent to PIB/FIT within 21 days of the "Date of Occurrence."  If the date the report was sent to PIB is not readily apparent in the Blue Team Report, review the Routings Report in IAPro.	Deadline to send to PIB: <input type="text"/>	(auto-populated based on the Blue Team Date of Occurrence)
		Blue Team Date Sent to PIB: <input type="text"/>	Was the L2/L3 report sent to PIB within 21 days? <input type="text"/>
13 L2 & L3			Yes No Not L2/L3

<p><b>Investigating Supervisor Not Involved</b></p>	<p>If L2 or L3, video and/or incident recall shows the investigating supervisor was not involved in the use of force and did not participate in the incident leading up to the use of force.</p>	<p>Yes No No Blue Team Not L2/L3</p>
<p><b>14 L2 &amp; L3 Supervisor Interviewed for Complaints of Pain</b></p>	<p>If L2 or L3, the supervisor interviewed the subject for complaints of pain.</p>	<p>Yes No No Blue Team Not L2/L3 Vid Incomplete</p>
<p><b>15 L2 &amp; L3 Supervisor Ensured Subject Received Proper Medical Attention</b></p>	<p>If L2 or L3 and the subject needed medical attention, the investigating supervisor ensured the subject received medical attention from an appropriate medical provider.</p>	<p>Yes No No Med. Att. Needed No Blue Team Not L2/L3 Vid Incomplete</p>
<p><b>16 ECW Deployed According to Policy</b></p>	<p>Ch 1.7.1 Paragraph 4: a) Could the subject be lawfully detained or apprehended AND did the subject pose an imminent risk of harm to the officer(s), the subject, or others?  b) Were attempts to subdue the subject with less intrusive means ineffective OR do you think less intrusive means would have been ineffective?  AND c) Do you think there was an objectively reasonable expectation that it would have been unsafe for the officers to approach the suspect within contact range?  OR d) Did the officers have probable cause to arrest for a serious offense AND was the suspect actively fleeing?  AND e) Were attempts to subdue the subject with less intrusive means ineffective OR do you think less intrusive means would have been ineffective OR do you think less intrusive means would have increased the likelihood of greater harm to the officer, the subject or another party?  If an officer deployed/fired their ECW, it was authorized under <a href="#">paragraph 4 of Ch. 1.7.1</a>?</p>	<p>Yes No Unclear No ECW</p> <p>Yes No Unclear No ECW</p> <p>Yes No Unclear No ECW</p> <p>Yes No Unclear No ECW</p> <p>Yes No Unclear No ECW</p> <p>Yes No Unclear No ECW</p>

(If A, B, AND C are Yes OR D AND E are Yes, pick Yes)

If 'No' or 'Unclear', please explain:

**17 Force Reasonable**

Was the force reasonable/justified/not-excessive based on the resistance offered by the subject? Was the force the minimal amount necessary to control the subject?

See [Ch. 1.3](#) for guidance.

Graham v. Connor factors:  
 -Seriousness of crime  
 -Threat posed by subject  
 -Is the subject attempting to escape or is actively resisting arrest

Please explain:

**18 De-escalation Sufficient**

Did officers de-escalated as much as feasible or reasonable?

If you think there was a missed opportunity to de-escalate, please chose "No."

If 'No' or 'Unclear', please explain:

### Force Statements

**19 Force Statements Found**

A force statement exists for all involved (L1-3 and ECW L4) and witness (L2-3 and ECW L4) officers as seen on video. Witness officers are those that clearly witnessed the force.

(If no Blue Team enter 0 for # of Force Statements)

# of Force Statements (numerator)

/

# of Involved (L1+) and Witness Officers (L2+) as Seen on Video (denominator)

If applicable, give details below about the missing force statements such as: officer name or video info that shows additional officers involved/witnessing the UoF

**20 Force Statements Reasons for Encounter**

The officer included specific details in his/her force statement to describe the:

Reasons for encounter

(Enter 0/0 if no Blue Team)

# of Force Statements that describe the reasons for the encounter (numerator)

/

# of Force Statements (denominator)

If applicable, which force statements did not describe the reasons for the encounter (include officer name/ID):

<p><b>21 Force Statements Resistance Details</b></p>	<p>The officer included specific details in his/her force statement to describe the:</p> <p><u>Details of resistance offered by the subject</u></p> <p>(Enter 0/0 if no Blue Team)</p>	<p># of Force Statements that describe the <u>resistance offered by the subject</u> (numerator)</p> <p><input type="text"/></p> <p>/</p> <p># of Force Statements (denominator)</p> <p><input type="text"/></p> <p>If applicable, which force statements did <u>not</u> describe the resistance offered by subject (include officer name/ID):</p> <p><input type="text"/></p>
<p><b>22 Force Statements Force Details</b></p>	<p>The officer included specific details in his/her force statement to describe the:</p> <p><u>Details of force used by officer</u></p> <p>(Enter 0/0 if no Blue Team)</p>	<p># of Force Statements that describe the <u>force used by officer</u> (numerator)</p> <p><input type="text"/></p> <p>/</p> <p># of Force Statements (denominator)</p> <p><input type="text"/></p> <p>If applicable, which force statements did <u>not</u> describe the force used by the officer (include officer name/ID):</p> <p><input type="text"/></p>
<p><b>23 Force Statements Acts that Led to Force</b></p>	<p>The officer gave a specific description of the acts that led to the use of force.</p> <p>(CD 78)</p>	<p># of Force Statements that describe the <u>acts that led to the force</u> (numerator)</p> <p><input type="text"/></p> <p>/</p> <p># of Force Statements (denominator)</p> <p><input type="text"/></p> <p>If applicable, which force statements did <u>not</u> describe the acts that led to the force (include officer name/ID):</p> <p><input type="text"/></p>
<p><b>24 Force Statements Justification for Pointing Firearm</b></p>	<p>The officer included specific details in his/her force statement to describe the:</p> <p><u>Justification for pointing a firearm, if applicable</u></p> <p>(Enter 0/0 if not applicable or no Blue Team)</p> <p>Only use statement of officer(s) who pointed weapon, no others.</p>	<p># of Force Statements that give a <u>justification for pointing a firearm</u> (numerator)</p> <p><input type="text"/></p> <p>/</p> <p># of Force Statements (denominator)</p> <p><input type="text"/></p> <p>If applicable, which force statements did <u>not</u> give a justification for pointing a firearm (include officer name/ID):</p> <p><input type="text"/></p>

<p>25 <b>ECW Statements Consistent with Video</b></p>	<p>The videos and force statements for ECW deployments are consistent</p>	<div style="border: 1px solid black; padding: 5px;"> <input type="button" value="Yes"/> <input type="button" value="No"/> <input type="button" value="No ECW Deployed"/> </div>
<p>26 <b>Officer Justified Each ECW Cycle</b></p>	<p>If ECW cycled, the officer's force statement includes a justification for each cycle.</p>	<p># of ECW Cycles Explained in Force Statement</p> <input style="width: 100px;" type="text"/> <p style="text-align: center;">/</p> <p># of ECW Cycles</p> <input style="width: 100px;" type="text"/> <p>If applicable, which ECW cycles were not explained in force statements (include officer name/ID):</p> <input style="width: 300px; height: 30px;" type="text"/>
<p>27 <b>Force Statements No Boilerplate</b></p>	<p>The officer did not rely on boilerplate language in his/her force statement. Any boilerplate, pat, or conclusory language is supported with details.</p> <p>Examples include: "furtive movement" and "fighting stance". Both need supporting details.</p> <p>(CD 79)</p>	<p># of Force Statements that do <b>NOT</b> rely on boilerplate language (numerator)</p> <input style="width: 100px;" type="text"/> <p style="text-align: center;">/</p> <p># of Force Statements (denominator)</p> <input style="width: 100px;" type="text"/> <p>If applicable, please give the names of the officers who relied on boilerplate language in their force statements and enter the boilerplate language with quotes:</p> <input style="width: 300px; height: 30px;" type="text"/>
<p><b>Auditor Comments</b></p>	<input style="width: 100%; height: 40px;" type="text"/>	
<p><b>Reviewer Comments:</b></p>	<input style="width: 100%; height: 40px;" type="text"/>	
<p><b>Response to Reviewer Comments:</b></p>	<p>To be entered by Auditor</p> <input style="width: 100%; height: 40px;" type="text"/>	
<div style="border: 1px solid black; padding: 10px; width: fit-content; margin: 0 auto;"> <p><b>SUBMIT</b></p> </div>		